

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

60800B

Total Pages

First Named Inventor or Application Identifier

Navid Malik et al.

Express Mail Label No.

EL636830227US

A DENDRITIC-ANTINEOPLASTIC DRUG DELIVERY SYSTEM

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 38]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) [Total Sheets 18]
- ☐ Declaration and Power of Attorney [Total Pages ____]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
[Note Box 5 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference
The entire disclosure of the prior application identified in
Box 18 is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Associate Power of Attorney
15. ☐ Sealed envelope containing confidential information,
which Applicants may request to be expunged from
the application file.
16. ☒ Authorization for payment of fees and Petition for
Extensions of Time.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Amend the specification by inserting before the first line, the sentence: "This application is a

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/111,232."

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

00109

PATENT TRADEMARK OFFICE

or ☐ Correspondence address below

NAME

ADDRESS

CITY

STATE

ZIP CODE

Please direct any telephonic communication regarding this application to the undersigned Attorney/Agent for Applicants:

Karen L. Kimble

Reg. No.: 26,995Phone No.: (989) 636-1687Fax No.: (989) 636-2523

Assistant Commissioner for Patents
Washington, D. C. 20231

Attorney's Case No. : 60800B

Application of : Navid Malik, Ruth Duncan, Donald Tomalia and Roseita Esfand

For: A DENDRITIC-ANTINEOPLASTIC DRUG DELIVERY SYSTEM

No. of Drawing Sheets: 18

EXPRESS MAIL MAILING LABEL NO.

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DATE OF DEPOSIT: October 29, 2001

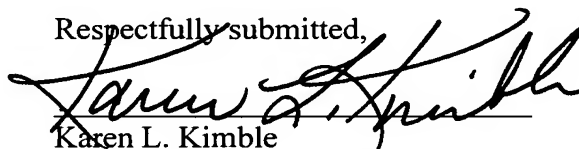
Sir:

Enclosed for filing is the above-identified application. Please charge the estimated fee to our Deposit Account No. 04-1512. An original and 2 copies of this sheet are enclosed.

A. Basic Filing Fee	<u>\$740.00</u>
Total Number of Claims	<u>27</u>
Less (Basic Fee)	<u>20</u>
B. Extra Claims	<u>7</u> x \$ 18.00 = <u>\$126.00</u>
Total Number Independent Claims	<u>1</u>
Less (Basic Fee)	<u>3</u>
C. Extra Independent Claims	<u>0</u> x \$ 84.00 = <u>\$0</u>
D. Multiple Dependent Claims Presented	+ \$280.00 = <u>\$280.00</u>
TOTAL FILING FEE (A+B+C+D) =	<u>\$1,146.00</u>

If this estimate is incorrect, please charge or credit our account accordingly.

Respectfully submitted,



Karen L. Kimble

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THE DOW CHEMICAL COMPANY
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P.O. Box 1967
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Date: October 29, 2001

KLK/maw

10016733-102901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Navid Malik, Ruth Duncan, Donald Tomalia and Roseita Esfand

Attorney Docket No.: 60800B

Group Art Unit: Unknown

Filed: Concurrently Herewith

Examiner: Unknown

For: A DENDRITIC-ANTINEOPLASTIC DRUG DELIVERY SYSTEM



EXPRESS MAIL MAILING LABEL NO.

EL363830227US

DATE OF DEPOSIT: October 29, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME

Pursuant to 37 CFR 1.136(a)(3), please treat any concurrent or future reply in this application which requires a petition for an extension of time under 37 CFR 1.136(a)(1) as incorporating a petition for an extension of time for the appropriate length of time. Please charge any fees required under 37 CFR 1.17 in this application to Deposit Account No. 04-1512.

Respectfully submitted,

Karen L. Kimble

Registration No.: 26,995

Phone: (989) 636-1687

Date: October 29, 2001

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